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IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant(s): Nallan et al.
Case: 7017 C01/ETCH/METAL/NVM
Serial No.: 10/805,890 Filed: March 22, 2004
Title: METHOD OF PLASMA ETCHING OF HIGH-K DIELECTRIC
MATERIALS WITH HIGH SELECTIVITY TO UNDERLYING LAYERS

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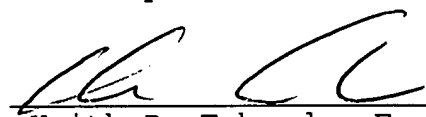
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Disclosure Statement under 37 CFR 1.56 and 1.98

Pursuant to 37 CFR 1.56, the documents listed on the attached form PTO-1449 are disclosed. Pursuant to 37 CFR 1.98(d), these documents were previously cited by or submitted with a Disclosure Statement in a prior application that is relied upon by the above-identified Continuation application for an earlier filing date under 35 U.S.C. 120. As such, the documents may be found in the file wrapper of U.S. patent application serial number 10/092,795, filed on March 6, 2002. Inclusion of these documents on any patent issuing from this Continuation application is respectfully requested. Under rule 37 C.F.R. 1.98(a), the applicant submits that no specific comments are necessary for any of the cited English language publications.

Respectfully submitted

July 27, 2004
Date

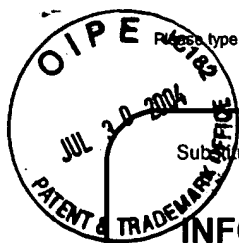
By: 
Keith P. Taboada, Esq.
Reg. No. 45,150

Moser, Patterson & Sheridan, LLP
595 Shrewsbury Avenue, Suite 100
Shrewsbury, New Jersey 07702
Telephone: 732-530-9404
Facsimile: 732-530-9808

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

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of

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Application Number

10/805,890

Filing Date

March 22, 2004

First Named Inventor

Nallan, et al.

Group Art Unit

Examiner Name

Attorney Docket Number

7017 C01/ETCH/METAL/NVM

Submission Date

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A1	US-2003/0032237	02/13/2003	Clevenger, et al.	
	A2	US-2003/0036241	02/20/2003	Tews	
	A3	US-5,071,714	12/10/1991	Rodbell et al.	
	A4	US-5,188,979	02/23/1993	Filipiak	
	A5	US-5,337,207	08/09/1994	Jones et al.	
	A6	US-5,356,833	10/18/1994	Maniar et al.	
	A7	US-6,148,072	11/14/2000	Huang	
	A8	US-6,270,568	08/07/2001	Droopad et al.	
	A9	US-6,297,095	10/02/2001	Muralidhar et al.	
	A10	US-6,300,202	10/09/2001	Hobbs et al.	
	A11	US-6,319,730	11/20/2001	Ramdani et al.	
	A12	US-6,326,261	12/04/2001	Tsang et al.	
	A13	US-6,348,386	02/19/2002	Gilmer	
	A14	US-6,528,386	03/04/2003	Summerfelt et al.	
	A15	US-200/0055852	12-2001	Moise et al.	
	A16	US-2002/0076936	06-2002	Iguchi, et al.	
	A17	US-6,284,146	09-2001	Kim et al.	
	A18	US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	B1	WO 01/51072	07-19-2001	Isis Innovation Ltd		
	B2	WO 01/97257	12-20-2001	Motorola, Inc.		
	B3					
	B4					
	B5					

Examiner

Date Considered

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